

The proof of Mather's theorem goes via a chain of equivalent notions of stability. Especially useful is the following

### 6. Def

For  $f \in C^\infty(M, N)$  denote by  $[f]_x$  the germ of  $f$  at  $x$ .

$f$  is **locally infinitesimally stable at  $x$**  if for every germ of a vector field along  $f$  (= germ of a section  $M \rightarrow f^*TN$ ),  $[v]_x$ , there exist germs of vector fields  $[s]_x$  in  $C^\infty(TM)_x$  and  $[t]_{f(x)}$  in  $C^\infty(TN)_{f(x)}$ , s.t.

$$[v]_x = [df \cdot s]_x + [t \circ f]_x .$$

In local coordinates  $(x_1, \dots, x_m)$  around  $x$  and  $(y_1, \dots, y_n)$  around  $f(x)$  this amounts to solving

$$v_i = \sum_{j=1}^m \frac{\partial f_i}{\partial x_j} s_j + t_i (f_1, \dots, f_n) \quad i=1, \dots, n$$

7. Thm:  $f$  is loc. inf. stable at  $x$  if these equations can be solved to order  $n = \dim N$ ,

i.e.

$$v_i = \sum_{j=1}^m \frac{\partial f_i}{\partial x_j} s_j + t_i (f_1, \dots, f_n) + O(\| (x_1, \dots, x_m) \|^{\nu+1})$$

Proof: Generalized Malgrange Preparation Theorem  
(see GRG) 

Note that

1. loc. inf. stability at  $x$  is determined by

$$j^{\nu+1} f(x) !$$

2. this reduces the problem to finite dimensions (can use implicit fctn theorem!)

e.g: (almost) every singularity we had so far,  $x \mapsto x^k$ ,  $(x, y) \mapsto (x, y^2), \dots$

For a vector bundle  $\begin{matrix} E \\ \downarrow \pi \\ M \end{matrix}$  consider

$\pi_* : J^k(M, E) \rightarrow J^k(M, M)$  and  $I \subset J^k(M, M)$ ,  
defined by  $I := \{ \sigma = [\text{id}_M] \}$ .

This is a submanifold (and  $\pi_*$  submersion),

so  $J^k(E) := \pi_*^{-1}(I)$  is a submfld

of  $J^k(M, E)$ , the  $k$ -jet bundle of

sections of  $E$  (a vector bundle over  $M$ ).

§. Corollary:  $f$  loc. inf. stable at  $x$

$$\Leftrightarrow J^n(f^*TN)_x = df(x) J^n(TM)_x + f^* J^n(TN)_{f(x)}$$

If  $f$  is injective this is equivalent to  
global (inf.) stability.

In the general case we define for  $y \in N$

$$S = \{ x_1, \dots, x_k \} \subset f^{-1}(y)$$

$$J^l(E)_S := \bigoplus_{i=1}^k J^l(E)_{x_i}.$$

$f$  induces maps

$$\bullet f^*: J^l(TN)_y \rightarrow J^l(f^*TN)_{x_i} \quad \forall i=1, \dots, k$$

and

$$f^*: J^l(TN)_y \rightarrow J^l(f^*TN)_S$$

$$f^*[t]_y := ([t \circ f]_{x_1}, \dots, [t \circ f]_{x_k})$$

$$\bullet df: J^l(TM)_{x_i} \rightarrow J^l(f^*TN)_{x_i}$$

and

$$df: J^l(TM)_S \rightarrow J^l(f^*TN)_S$$

$$df([s_1]_{x_1}, \dots, [s_k]_{x_k}) := ([df \cdot s_1]_{x_1}, \dots, [df \cdot s_k]_{x_k})$$

We call  $f$  *simultaneously locally infinitesimally stable at  $x_1, \dots, x_k$*  if for all


germs of vector fields along  $f$ ,

$[v_1]_{x_1}, \dots, [v_k]_{x_k}$ , there exist germs of vector fields

$[s_1]_{x_1}, \dots, [s_k]_{x_k}$  and  $[t]_y$  s.t.

$$[v_i]_{x_i} = [df s_i]_{x_i} + (t \circ f)_{x_i} \quad i=1, \dots, k$$

9. Thm:  $f$  is inf. stable if and only if

$\forall y \in N$  and every  $S \subset f^{-1}(y)$  with  $|S| \leq n+1$  

$$J^n(f^*TN)_S = df(J^n(TM)_S) + f^*J^n(TN)_y$$

Proof: G&G.

Another notion of stability uses homotopies:

10. Def Let  $f \in C^\infty(M, N)$ ,  $I_\epsilon := (-\epsilon, \epsilon) \subset \mathbb{R}$

1. A **deformation/unfolding** of  $f$  is a smooth

$$\begin{aligned} \text{map } F: M \times I_\epsilon &\rightarrow N \times I_\epsilon \\ (x, t) &\mapsto (F_t(x), t) \end{aligned}$$

with  $F_0 = f$ .

2. A deformation/unfolding  $F$  of  $f$  is

**trivial** if

there is  $0 < \delta \leq \varepsilon$  and

$$G \in \text{Diff}(M \times I_\delta) \cap \{\text{deformations of } \text{id}_M\}$$

$$H \in \text{Diff}(N \times I_\delta) \cap \{\text{---} \text{id}_N\}$$

s.t.

$$M \times I_\delta \xrightarrow{F} N \times I_\delta$$

$$G \downarrow \quad \quad \quad \downarrow H$$

$$M \times I_\delta \xrightarrow{f \times \text{id}_{I_\delta}} N \times I_\delta$$

3.  $f$  is *stable under deformations/unfoldings*  
*(homotopically stable)* if every deformation of  
 $f$  is trivial.

e.g.:  $f(x) = x^2$   $F_t(x) = x^2 + t \cdot x$  is trivial

take  $G_t(x) = x + \frac{t}{2}$

$$H_t(y) = y + \frac{t^2}{4}$$

$$\begin{aligned} \Rightarrow H_t \circ F_t \circ G_t^{-1}(x) &= \frac{t^2}{4} + \left(x - \frac{t}{2}\right)^2 + t \cdot \left(x - \frac{t}{2}\right) \\ &= x^2 \end{aligned}$$

This defn is motivated by the following idea:

A deformation of  $f$  is a map

$$c: I_\varepsilon \rightarrow C^\infty(M, N), \text{ a curve in}$$

$C^\infty(M, N)$  through  $f$  ( $c(0) = f$ ). Recall

$$\begin{aligned} \gamma_f: \text{Diff}(M) \times \text{Diff}(N) &\rightarrow C^\infty(M, N) \\ (g, h) &\mapsto h \circ f \circ g^{-1}. \end{aligned}$$

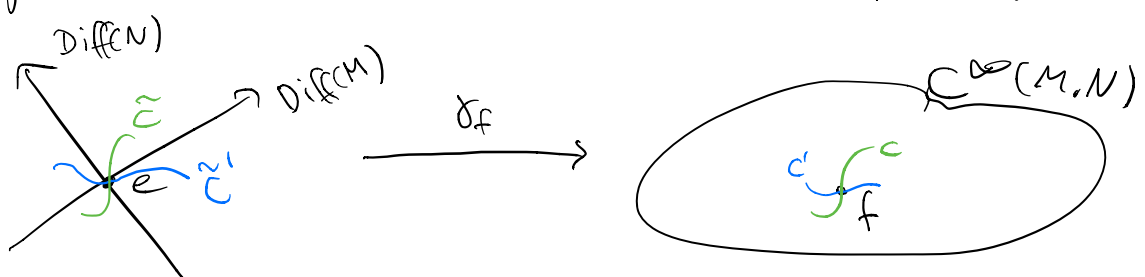
We argued that  $f$  is int. stable iff  $d\gamma_f(e)$  is onto.

This can be rephrased by: For every curve  $c: t \mapsto F_t$  with  $c(0) = f$  there is

a curve  $\tilde{c}: t \mapsto (G_t, H_t)$  in  $\text{Diff}(M) \times \text{Diff}(N)$  with  $\tilde{c}(0) = e$  s.t.

$$\gamma_f(G_t, H_t) = H_t \circ f \circ G_t^{-1} = F_t$$

which just means  $f$  is homotopically stable!



This can be generalized to  $k$ -parameter unfoldings by replacing  $I_\varepsilon$  with  $B_\varepsilon \subset \mathbb{R}^k$ .

Q:  $f$  not stable (e.g.  $x^3, x^4, x^5, \dots$ ),  
how "many" non-trivial unfoldings are  
there?

A: For  $\dim M \leq 2$  and  $k \leq 4$  we find

Thom's list of seven catastrophes

$$\left( \begin{array}{l} \text{- fold: } x^3 + tx \\ \text{- cusp: } x^4 + t_1 x^2 + t_2 x \\ \text{- swallowtail: } x^5 + t_1 x^3 + t_2 x^2 + t_3 x \\ \dots \end{array} \right)$$

There are even more notions of stability  
needed for the proof of Mather's theorem,  
including "transverse stability" which is for-  
mulated using  $\text{Diff}(M) \times \text{Diff}(N)$  invariant sub-  
manifolds of  $\mathcal{J}^k(M, N)$ . For the details, see  
the books by Arnold or GEG.



Propositions 3, 4 and 5 suggest that stable mappings are always dense in  $C^\infty(M, N)$ , but in general this is wrong (it is true for  $C^0$ -stability).

Mather showed that stable maps are dense in  $C^\infty(M, N)$  if and only if for

$k := \dim N - \dim M = n - m$  we have

- $n < 7k + 8$  when  $k \geq 4$
- $n < 7k + 9$  "  $3 \geq k \geq 0$
- $n < 8$  "  $k = -1$
- $n < 6$  "  $k = -2$
- $n < 7$  "  $k \leq -3$

